



**Form ADV Part 2B – Brochure Supplement for Matthew Kalabokes**

**Associate Wealth Advisor**

**1465 N. McDowell Blvd #170**

**Petaluma, CA 94954**

**(707) 795-0500**

Effective: December 1, 2023

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Matthew Kalabokes CRD# 7211724 in addition to the information contained in the FPC Investment Advisory, Inc. (“FPC” or the “Advisor”, CRD# 122728) Disclosure Brochure. You should have received a copy of that brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the FPC Disclosure Brochure or this Brochure Supplement, please contact FPC service team at (707) 795-0500.

Additional information about Mr. Kalabokes is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD# 7211724.

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## Item 2 – Educational Background and Business Experience

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### EDUCATIONAL BACKGROUND

Matthew Kalabokes, born in 1993, is dedicated to advising clients of FPC as an Associate Wealth Advisor. Mr. Kalabokes earned his Bachelor of Arts degree in Marketing from Dominican University in 2019. Additional information regarding Mr. Kalabokes's employment history is included below.

### BUSINESS BACKGROUND

FPC Investment Advisory, Inc, Associate Wealth Advisor	05/2023-Present
Mariner Wealth Advisors, Investment Advisor Representative	03/2022-05/2023
Private Wealth Partners, Client Service Associate	06/2020-03/2022
Unemployed	04/2020-05/2020
Northwestern Mutual Investment Services, Non-Registered Associate	12/2019-03/2020
Dominican University, Student BA in Marketing	08/2015-12/2019

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## Item 3 – Disciplinary Information

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**There are no legal, civil, or disciplinary events to disclose regarding Mr. Kalabokes.** Mr. Kalabokes has never been involved in any regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Kalabokes.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair, or unethical practices. **As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Kalabokes.**

However, we do encourage you to independently view the background of Mr. Kalabokes on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD# 7211724..

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## Item 4 – Other Business Activities

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Mr. Kalabokes is dedicated to the investment advisory activities of FPC's clients. Mr. Kalabokes does not have any other business activities.

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## Item 5 – Additional Compensation

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Mr. Kalabokes is dedicated to the investment advisory activities of FPC's clients. Mr. Kalabokes does not receive any additional forms of compensation.

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## Item 6 – Supervision

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Mr. Kalabokes serves as an Investment Advisor Representative and is supervised by Bijan Golkar, the Chief Compliance Officer. Mr. Golkar can be reached at (707) 795-0500.

FPC has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to clients of FPC. Further, FPC is subject to regulatory oversight by various agencies. These agencies require registration by FPC and its Supervised Persons. As a registered entity, FPC is subject to examinations by regulators, which can be announced or unannounced. FPC is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



**Form ADV Part 2B – Brochure Supplement for Tyler L. Schalch, CFP®**

**Chief Investment Officer and Senior Advisor**

**1465 N. McDowell Blvd #170**

**Petaluma, CA 94954**

**(707) 795-0500**

Effective: December 1, 2023

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Tyler L. Schalch, CFP® (CRD# 6719804) in addition to the information contained in the FPC Investment Advisory, Inc. (“FPC” or the “Advisor”, CRD# 122728) Disclosure Brochure. You should have received a copy of that brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the FPC Disclosure Brochure or this Brochure Supplement, please contact FPC service team at (707) 795-0500.

Additional information about Mr. Schalch is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD# 6719804.

## Item 2 – Educational Background and Business Experience

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### EDUCATIONAL BACKGROUND

Tyler L. Schalch, CFP®, born in 1994, is dedicated to advising clients of FPC as a Wealth Advisor. Mr. Schalch earned his B.S. in Managerial Economics from University of California, Davis in 2016. Additional information regarding Mr. Schalch's employment history is included below.

### BUSINESS BACKGROUND

Chief Investment Officer, FPC Investment Advisory, Inc.	09/2021 - Present
Investment Adviser Representative, FPC Investment Advisory, Inc.	10/2016 to 09/2021
Administrative Assistant, FPC Investment Advisory, Inc.	06/2015 to 10/2016

### PROFESSIONAL DESIGNATIONS

#### *CERTIFIED FINANCIAL PLANNER™ ("CFP®")*

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP® (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP® Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 71,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP® Board's studies have determined as necessary for the competent and professional delivery of financial planning services and attain a bachelor's degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP® Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning.
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances.
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP® Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements can be subject to CFP® Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

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### **Item 3 – Disciplinary Information**

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**There are no legal, civil or disciplinary events to disclose regarding Mr. Schalch.** Mr. Schalch has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Schalch.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair, or unethical practices. **As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Schalch.**

However, we do encourage you to independently view the background of Mr. Schalch on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD# 6719804.

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### **Item 4 – Other Business Activities**

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Mr. Schalch is dedicated to the investment advisory activities of FPC's clients. Mr. Schalch does not have any other business activities.

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### **Item 5 – Additional Compensation**

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Mr. Schalch is dedicated to the investment advisory activities of FPC's clients. Mr. Schalch does not receive any additional forms of compensation.

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### **Item 6 – Supervision**

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Mr. Schalch serves as an Investment Adviser Representative of FPC and is supervised by Bijan Golkar, the Chief Compliance Officer. Mr. Golkar can be reached at (707) 795-0500.

FPC has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of FPC. Further, FPC is subject to regulatory oversight by various agencies. These agencies require registration by FPC and its Supervised Persons. As a registered entity, FPC is subject to examinations by regulators, which can be announced or unannounced. FPC is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



**Form ADV Part 2B – Brochure Supplement for Bijan Golkar, CFP®**

**Chief Executive Officer, Chief Compliance Officer, and Senior Advisor**

**1465 N. McDowell Blvd #170**

**Petaluma, CA 94954**

**(707) 795-0500**

Effective: December 1, 2023

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Bijan Golkar, CFP® CRD# 5814146 in addition to the information contained in the FPC Investment Advisory, Inc. (“FPC” or the “Advisor”, CRD# 122728) Disclosure Brochure. You should have received a copy of that brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the FPC Disclosure Brochure or this Brochure Supplement, please contact FPC service team at (707) 795-0500.

Additional information about Mr. Golkar is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD# 5814146.

## **Item 2 – Educational Background and Business Experience**

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### **EDUCATIONAL BACKGROUND**

Bijan Golkar, CFP®, born in 1985, is dedicated to advising clients of FPC as the Chief Executive Officer, Chief Compliance Officer, and Senior Advisor. Mr. Golkar earned his A.A. in Real Estate from Foothill College in 2007, B.A. in Business Management from Golden Gate University in 2010 and Certificate in Financial Planning from Santa Clara University in 2012. Additional information regarding Mr. Golkar's employment history is included below.

### **BUSINESS BACKGROUND**

Chief Executive Officer, FPC Investment Advisory, Inc.	09/2014 to Present
Chief Operating Officer, FPC Investment Advisory, Inc.	09/2012 – 09/2014
Associate, FPC Investment Advisory, Inc.	05/2007 – 09/2012

### **PROFESSIONAL DESIGNATIONS**

#### ***CERTIFIED FINANCIAL PLANNER™, (CFP®)***

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP® (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 71,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- **Education** – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP® Board's studies have determined as necessary for the competent and professional delivery of financial planning services and attain a bachelor's degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP® Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances.
- **Experience** – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- **Ethics** – Agree to be bound by CFP® Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- **Continuing Education** – Complete 30 hours of continuing education hours every two years,



including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and

- **Ethics** – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements can be subject to CFP® Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

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### **Item 3 – Disciplinary Information**

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**There are no legal, civil, or disciplinary events to disclose regarding Mr. Golkar.** Mr. Golkar has never been involved in any regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Golkar.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair, or unethical practices. **As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Golkar.**

However, we do encourage you to independently view the background of Mr. Golkar on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD# 5814146.

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### **Item 4 – Other Business Activities**

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Mr. Golkar is dedicated to the investment advisory activities of FPC's clients. Mr. Golkar does not have any other business activities.

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### **Item 5 – Additional Compensation**

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Mr. Golkar is dedicated to the investment advisory activities of FPC's clients. Mr. Golkar does not receive any additional forms of compensation.

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### **Item 6 – Supervision**

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Mr. Golkar serves as the Chief Executive Officer, Chief Compliance Officer, and Senior Advisor of FPC. Mr. Golkar can be reached at (707) 795-0500. As CCO, Mr. Golkar is responsible for the supervision of all advisors and access people in the firm.

FPC has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to clients of FPC. Further, FPC is subject to regulatory oversight by various agencies. These agencies require registration by FPC and its Supervised Persons. As a registered entity, FPC is subject to examinations by regulators, which can be announced or unannounced. FPC is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.